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Customer Number

Patent
Case No.: 58961US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ROSENFLANZ, ANATOLY Z.

Application No.: 10/666098 Group Art Unit: 1755

Filed: September 18, 2003 Examiner: Karl E. Group

Title: CERAMICS COMPRISING Al_2O_3 , Y_2O_3 , ZrO_2 AND/OR HfO_2 , AND
 Nb_2O_5 AND/OR Ta_2O_5 AND METHODS OF MAKING THE SAMESUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTMail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

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- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

February 9, 2006
DateJessica L. Nolan
Signed by: Jessica L. Nolan

Dear Sir:


Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

February 9, 2006
Date

By: 
Gregory D. Allen, Reg. No.: 35,048
Telephone No.: 651-736-0641

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Page 1 of 1

Application Number

10/666098

Filing Date

September 18, 2003

First Named Inventor

Rosenflanz, Anatoly Z.

Art Unit

1755

Examiner Name

Karl E. Group

Attorney Case Number

58961US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 3,560,780	03-21-1972	Connelly	
	A2	US- 4,014,122	03-29-1977	Woods	
	A3	US- 4,472,511	09-18-1984	Mennemann et al.	
	A4	US- 4,812,422	03-14-1989	Yuhaku et al.	
	A5	US- 5,071,801	12-10-1991	Bedard et al.	
	A6	US- 5,122,176	06-16-1992	Goettler	
	A7	US- 5,378,662	01-03-1995	Tsuyuki	
	A8	US- 5,763,345	06-09-1998	Ohshima et al.	
	A9	US- 6,128,430	10-03-2000	Chu et al.	
	A10	US- 6,214,429 B1	04-10-2001	Zou et al.	
	A11	US- 6,268,303 B1	07-31-2001	Aitken et al.	
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	A13	US- 2005/0075233 A1	04-07-2005	Weber et al.	

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	JP	3-113428	05-14-1991			Abstract
	B2	JP	5-226733	09-03-1993			Abstract
	B3	KR	9601009	04-12-1993			Abstract
	B4	WO	03/001776 A1	01-03-2003			
	B5						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		

*Examiner:

Date Considered:

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Information Disclosure Statement)